



KRISHVI SECURITIES PVT. LTD.

Tel. : 2202 0778
Telefax : 91-22- 2202 0779
Email : krishviscurities@yahoo.com

MEMBER : Bombay Stock Exchange Ltd.
CL. No. 927 • SEBI REG NO. INB011202737
CIN : U67120MH2003PTC143276

Investor Grievances Email ID : i.g.krishviscurities@gmail.com

PRE FUNDED INSTRUMENTS/ELECTRONIC FUND TRANSFER ACCEPTANCE POLICY

Objective: This policy is framed with the objective of prohibiting the flow of third party funds and unidentified money through pre funded instruments like DD/PO/BANKER CHEQUE and Electronic Fund Transfer like NEFT/RTGS. The objective is to maintain audit trail for such acceptances of such funds and ensure that no third party funds are accepted unless with proper documentary evidences.

Scope: This policy is framed for accepting DD/PO at Krishvi Securities Pvt. Limited. This policy covers all such instruments accepted from the clients at Krishvi Securities Pvt. Ltd.

- a. If the aggregate value of pre-funded instruments is ` 50,000/- or more, per day per client, Krishvi Securities Pvt. Ltd. may accept the instruments only if the same are accompanied by the name of the bank account holder and number of the bank account debited for the purpose, duly certified by the issuing bank.

The mode of certification may include the following:

- i. Certificate from the issuing bank on its letterhead or on a plain paper with the seal of the issuing bank.
 - ii. Certified copy of the requisition slip (portion which is retained by the bank) to issue the instrument.
 - iii. Certified copy of the passbook/bank statement for the account debited to issue the instrument.
 - iv. Authentication of the bank account-number debited and name of the account holder by the issuing bank on the reverse of the instrument.
- b. Maintain an audit trail of the funds received through electronic fund transfers to ensure that the funds are received from their clients only.

The accounts staff at Krishvi Securities Pvt. Ltd. is responsible for ensuring the compliance and would maintain the relevant documentary evidence for the same which would be produced before the regulatory authorities whenever required.

The compliance officer would periodically review this policy and would ensure its compliance along with the accounts department.



KRISHVI SECURITIES PVT. LTD.

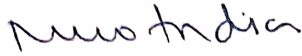
Tel. : 2202 0778
Telefax : 91-22- 2202 0779
Email : krishviscurities@yahoo.com

MEMBER : Bombay Stock Exchange Ltd.
CL. No. 927 • SEBI REG NO. INB011202737
CIN : U67120MH2003PTC143276

Investor Grievances Email ID : i.g.krishviscurities@gmail.com

The above Policy was approved by the Board of Directors of the Company at its Meeting held on 30-12-2016.

For Krishvi Securities Pvt. Ltd.



Director / Authorized Signatory

Place: Mumbai